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DT0 c'd PCT/PT 21 JAN 2005  
CASE NO. 70102

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Pamela Shirling  
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Signature

January 21, 2005  
Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE PCT NATIONAL STAGE APPLICATION OF  
LAMBERTH, ET AL.

INTERNATIONAL APPLICATION NO: PCT/EP03/08057

I.A. FILING DATE: 23 JULY 2003

U.S. APPLICATION NO: TO BE ASSIGNED

35 USC §371 DATE: HERewith

FOR: N-BISARYL- AND N-ARYL-CYCLOALKYLIDENYL-ALPHA-  
HYDROXY-AND ALPHA-ALKOXY ACID

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Sir:

This paper is being filed within three months of the date of entry of the national stage as set forth in 37 C.F.R. §1.491 of the international application. Therefore, no fees are required. If a fee is deemed to be required, the Commissioner is hereby authorized to charge such fee to Deposit Account No. 50-1676.

In accordance with 37 C.F.R. §1.56, applicant wishes to call the Examiner's attention to the references cited on the attached form(s) PTO-1449.


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The listed references were cited in the international stage search report. Since these references are of record in the instant PCT application PCT/EP03/08057, copies are not enclosed herewith.

The Examiner is requested to consider the foregoing information in relation to this application and indicate that each reference was considered by returning a copy of the initialed PTO 1449 form(s).

Respectfully submitted,

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Date: January 21, 2005

Substitute for form 1449/PTO

(Use as many sheets as necessary)

**Complete if Known**

Sheet	1	of	3
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Application Number	TO BE ASSIGNED
Filing Date	HEREWITH
First Named Inventor	LAMBERTH, C.
Art Unit	
Examiner Name	
Attorney Docket Number	70102

[illegible]

Examiner Initials*		Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>d</sup>
			Country Code <sup>2</sup> *Number <sup>4</sup> *Kind Code <sup>5</sup> (if known)				
			WO 9429267	12/22/1994	HOECHST SCHERIN		
			EP 0940387	09/08/1999	TOKYO TANABE CO		
			WO 03078382	09/25/2003	SAKAGUCHI HIROS		
			WO 0041998	07/20/2000	NOVARTIS ERFIND		
			WO 9617840	06/13/1996	AGREVO UK LTD		

**Examiner  
Signature**

Date	
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Examiner Signature	<p><b>*EXAMINER:</b> Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="http://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.</p> <p>This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. <b>DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.</b></p> <p><i>If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.</i></p>
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Sheet 2 of 3

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Application Number	TO BE ASSIGNED 10/522077
Filing Date	HEREWITH
First Named Inventor	LAMBERTH, C.
Art Unit	
Examiner Name	
Attorney Docket Number	70102

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		NACHTSHEIM C M ET AL: "ASYMMETRISCHE REDUKTIVE AMINIERUNG VON CYCLOALKANONEN, CIS-1S, 2S-2-ARYLCYCLOHEXANAMIN ASYMMETRIC REDUCTI, vol. 322, no. 4, 1 April 1989; pages 187-197.	
		DATABASE CROSSFIRE BEILSTEIN INSTITUT ZUR FORDERUNG DER CHEMISCHEN WISSENSCHAFTEN, REACTION ID 470534; ABSTARCT & SMITH ET AL: J. ORG CHEM., 23, 1958, PAGES 524-26	
		DATABASE CROSSFIRE BEILSTEIN "ONLINE! BEILSTEIN INSTITUT ZUR FORDERUNG DER CHEMISCHEN WISSENSCHAFTEN, REACTION ID 273427, ABSTRACT & COPP; WALLS; J. CHEM. SOC., 1950, PAGE 311, 315, 316	
		DATABASE CROSSFIRE BEILSTEIN "ONLINE! BEILSTEIN INSTITUT ZUR FORDERUNG DER CHEMISCHEN WISSENSCHAFTEN, REACTION ID 2206083; ABSTARCT & MATSUMOTO, MASAKATSU ET AL. VOL. 24, NO. 10, 1994; PPS. 1441-46.	
		DATABASE CROSSFIRE BEILSTEIN "ONLINE! BEILSTEIN INSTITUT ZUR FORDERUNG DER CHEMISCHEN WISSENSCHAFTEN, FRANKFURT; REACTION ID 21267; ABSTRACT & BELL; KENYON; J. CHEM. SOC., 1926; PAGE 2712	
		DATABASE CROSSFIRE BEILSTEIN "ONLINE! BEILSTEIN INSTITUT ZUR FORDERUNG DER CHEMISCHEN WISSENSCHAFTEN, FRANKFURT; REACTION ID 7285501; ABSTRACT & MIKHANT'EV; RADZIUNAS; TR. PROBL. LAB. KHIM. VYSOKOMOL., 1964, PAGE 14	
		DATABASE CROSSFIRE BEILSTEIN "ONLINE! BEILSTEIN INSTITUT ZUR FORDERUNG DER CHEMISCHEN WISSENSCHAFTEN, FRANKFURT; REACTION ID 30776; ABSTRACT & TREFILOWA; POSTOWSKII; DOKL. AKAD. NAUK SSSR., 114, 1957, PAGE 116	
		DATABASE CROSSFIRE BEILSTEIN "ONLINE! BEILSTEIN INSTITUT ZUR FORDERUNG DER CHEMISCHEN WISSENSCHAFTEN, FRANKFURT; ACCESSION NO. BRN 3097900; ABSTRACT & ACORIA; SCARLATA; ANN. CHIM (ROME), 58, 1968, PAGE 32	
		DATABASE CROSSFIRE BEILSTEIN "ONLINE! BEILSTEIN INSTITUT ZUR FORDERUNG DER CHEMISCHEN WISSENSCHAFTEN, FRANKFURT; ACCESSION NO. BRN 7589821; ABSTRACT & TETRAHEDRON LETT., VOL. 38, NO. 3; 1997, PAGES 399-402	
		DATABASE CA "ONLINE! CHEMICAL ABSTRACTS SERVICE, COLUMBUS, OHIO, US; DE GEE, A. ET AL: STEREOSPECIFIC SYNTHESIS OF CHIRAL N-(P-METHOXYPHENYLALKYL)PYRIDINIUM; RETRIEVED FROM STN; ACCESSION NO. 81:3733, ABSTRACT & J. CHEM. SOC. PERKIN TRANS 1: ORGANIC AND BIO-ORGANIC CHEMISTRY (1972-1999); NO. 6, 1974, PAGES 676-9.	

Examiner  
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10-1522077

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Application Number	TO BE ASSIGNED
Filing Date	HERWITH
First Named Inventor	LAMBERTH, C.
Art Unit	
Examiner Name	
Attorney Docket Number	70102

**NON PATENT LITERATURE DOCUMENTS**  
(CAPITAL LETTERS) title of the article

Examiner Signature	Date Considered
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Examiner Signature	Considered	Draw line through citation if not in conformance and not
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